

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X	
	:
In re	:
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:
	:
Debtors.	:
	:
-----X	
	Chapter 11 Case No.
	08-13555 (SCC)
	(Jointly Administered)
	Ref. Docket Nos. 44609, 44615,
	44633

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 16, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
24<sup>th</sup> day of June, 2014

/s/ Panagiotis Caris  
Notary Public, State of New York  
No. 01CA6237515  
Qualified in Queens County  
Commission Expires March 21, 2015

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC. et al.

08-13555 (SCC)

(Jointly Administered)

Debtors.

NOTICE OF DEFECTIVE TRANSFER

Transferor: CREDIT SUISSE  
ATTN: ALLEN GAGE  
1 MADISON AVE  
NEW YORK NY 10010

Additional: CREDIT SUISSE  
CRAVATH, SWAINE & MOORE LLP  
ATTN: RICHARD LEVIN  
WORLDWIDE PLAZA  
825 EIGHTH AVENUE  
NEW YORK NY 10019

Transferee: BSI SA  
ATTN: ANDREA FERRARI ANDREA VILLA  
CUSTODY ADMINISTRATION  
VIA MAGATTI 2  
LUGANO 6900

Your transfer of claim # 55829 is defective for the reason(s) checked below:

Other ☐ ISIN noted within Trans Ntc. does not relate to Claim

Docket Number 44609

Date 06/10/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 16, 2014.

## **EXHIBIT B**

TIME: 23:19:41  
DATE: 06/16/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BSI SA	ATTN: ANDREA FERRARI / ANDREA VILLA CUSTODY ADMINISTRATION VIA MAGATTI 2 LUGANO 6900
CIAUDIA DOERMANN	STETTIBACHSTRASSE 73 ZURICH CH-8051 SWITZERLAND
CREDIT SUISSE	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019
CREDIT SUISSE	RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
CVI HH INVESTMENTS LP	C/O CARVAL INVESTORS UK ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
DEUTSCHE BANK AG, LONDON	TRANSFEROR: BNP PARIBAS SECURITIES CORP C/O DEUTSCHE BANK SECURITIES INC. ATTN: MATT WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005

Total Number of Records Printed

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